

STATEMENT UNDER 37 CFR 3.73(b)

1556.0440000/RWE/KPQ

Applicant/Patent Owner: Daniel PLANTE and Eliane UBALIJORO

Application No./Patent No./Control No. 10/553,435 (U.S. Nat'l Phase of PCT/CA04/00560) Filed/Issue Date: I.A. Filing Date: April 19, 2004

Entitled:

Polynucleotides for the Detection of Listeria Monocytogenes

WARNEX RESEARCH INC.

a

Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
Signature

5/06/11/03
Date

Printed or Typed Name

Telephone Number

V.P. RESEARCH AND DEVELOPMENT
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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ASSIGNMENT OF INVENTION

In consideration of One (\$1.00) Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we,

- a) **Daniel Plante**, whose post office address is 6688 Jean-Paul Lemieux, Laval, Québec, Canada Canada, H7L 5V5
- b) **Eliane Ubalijoro**, whose post office address is 175, rue Leech, Les Cèdres, Québec Canada J7T 1K3;

do hereby confirm our sale, assignment and transfer, and by these presents, do hereby sell, assign and transfer to **Warnex Research Inc.** (hereinafter the "Assignee"), whose full post office address is **3885 Industriel Boulevard, Laval, Quebec Canada H7L 4S3**, all our right, title and interest throughout the world in and to (1) our invention(s) relating to

Polynucleotides for the Detection of Listeria Moncytogenes, as described and/or claimed in U.S. Provisional Patent Application No. **60/463,738** filed on April 18, 2003;

Polynucleotides for the Detection of Listeria Moncytogenes, as described and/or claimed in International Patent Application No. **PCT/CA2004/000560** filed on April 19, 2004;

(2) all improvements, including but not limited to modifications, additions, substitutions, derivatives, and deletions to said invention(s); (3) the above-identified applications in (1) and national phase applications thereof; (4) all other applications for Letters Patent in any country throughout the world for said invention(s) and improvements, including but not limited to all divisional, continuation, continuation-in-part, renewal, reissue, and substitute applications; (5) any Letters Patent which may issue from any of said applications as exemplified in (3) and (4) above in any country throughout the world; (6) all Convention or Treaty rights derived from any of said applications, including without limitation, all rights of priority throughout the world in and to said applications; and (7) the full and complete right to file applications for Letters Patent in the name of the Assignee, or in our names at the Assignee's election, on said invention(s) and improvements in all countries throughout the world.

For said consideration, we agree, upon the request and at the expense of the Assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part, reissue and substitute applications for said invention(s) and improvements, and acknowledge and agree that all rights therein shall vest in the Assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said Assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made. In addition, we will, at the request of the Assignee, execute any and all documents required by the Assignee to fully and properly vest the aforementioned rights in the Assignee.

The undersigned hereby grants to the firm of **MBM & CO.** whose full post office address is P.O. Box 809, Station B, Ottawa, Ontario, Canada, K1P 5P9, the power to insert on this

assignment any further information and/or correct any clerical error in the information pertaining to the referenced application above, which may be necessary or desirable in order to comply with the patent legislation for recordation of this document.

SIGNED at LAVAL, QUÉBEC, this 12 day of DECEMBER, 2005.
(City, Province/State)

Daniel Plante
Daniel Plante

I, NANCY DALLAIRE declare that I was personally present and did see
(Name of witness)

Daniel Plante duly sign and execute the above assignment.

Nancy Dallaire
(Signature of witness)

SIGNED at Les Cèdres, Quebec, this 23rd day of November, 2005.
(City, Province/State)

Eliane Ubalijoro
Eliane Ubalijoro

I, NICOLA URBANI declare that I was personally present and did see
(Name of witness)

Eliane Ubalijoro duly sign and execute the above assignment.

Nicola Urbani
(Signature of witness)